

APPENDIX A

refreshAllAccounts - Updates the database (AADB/CPDB) with current client account data.

Description:

Given a Client RegID, get all of the clients account data and update the stored database (AADB/CPDB) with this data.

Inputs:

RegID: The Client's internal user ID.

Returns:

Void.

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APPENDIX B

getPermissionedClientList - Returns only those Clients that have entitled the FA to view their data. The list will be returned in ascending alphabetical order by last name.

Syntax:

getPermissionedClientList(String lastName, String firstName)

lastName: The client's last name.

firstName: The client's first name (optional - set to null if not used).

getPermissionedClientList(String ssn)

ssn: The client's social security or tax id number.

getPermissionedClientList(String usaID)

usaID: The FA's usa ID.

Returns:

ClientList: List of client objects.

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APPENDIX C

getPermissionedFaList -Returns only those FAs that have clients that have allowed them to view their aggregated data. The list will be returned in ascending alphabetical order by last name.

Syntax:

getPermissionedFaList(String lastName, String firstName)

lastName: The FA's last name.

firstName: The FA's first name (optional - set to null if not used).

getPermissionedFaList(String usaID)

usaID: The FA's usa ID.

getPermissionedFaList(String branchID)

branchID: The two-character Branch ID.

Returns:

FAList: List of permissioned FA objects.

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APPENDIX D

getPermissionedBmgrList - Gets all Branch Managers that have FAs who have been permissioned by Clients. The list will be returned in ascending alphabetical order by last name.

Syntax:

getPermissionedBmgrList(String lastName, String firstName)

lastName: The Branch Manager's last name.

firstName: The Branch Manager's first name (optional - set to null if not used).

getPermissionedBmgrList(String branchID)

branchID: The two-character Branch ID

getPermissionedBmgrList(String divisionID)

divisionID: The division ID number.

Returns:

BranchMgrList: List of permissioned branch manager objects.

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APPENDIX E

getAccountSummary -Gets the account summary for the given account ID.

Syntax:

getAccountSummary(String RegID, String UsaID, String accountID)

RegID: The Client's Regid.

UsaID: The FA's ID.

AccountID: The unique identifier given to an account (note: This is not account number).

Returns:

Account: Account object.

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APPENDIX F

getAccountSummaryList -Gets the list of account summaries that the given RegID has permissioned for the given UsaID.

Syntax:

getAccountSummary(String RegID, String UsaID)

RegID: The Client's Regid.

UsaID: The FA's ID.

Returns:

AccountList: List of account objects populated with summary data.

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APPENDIX G

getAccountSummaryListRefresh - Gets the list of account summaries that the given RegID has permissioned for the given UsaID.

Description:

Gets the latest account data, saves the data locally in the AADB and returns the permitted accounts.

Inputs:

UsaID: The FA's ID.

SortField: The field number that should be used to sort the result set.

SortDirection: The sort direction. "A" is ascending and "D" is descending.

Returns:

AccountList: List of account objects.

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APPENDIX H

getAccountPositionList - Gets a list of all holdings for a specific account.

Syntax:

getAccountPositionList(String RegID, String UsaID, String accountID)

RegID: The Client's Regid.

UsaID: The FA's ID.

AccountID: The unique identifier given to an account (note: This is not account number).

Returns:

PositionList: List of position objects associated with the account.

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APPENDIX I

getAccountTransactionList - Gets a list of all transactions for a specific account. The list will be returned in ascending chronological order.

Syntax:

getAccountTransactionList(String RegID, String UsaID, String accountID, int startIdx, int listLimit)

RegID: The Client's Regid.

UsaID: The FA's ID.

AccountID: The unique identifier given to an account (note: This is not account number).

StartIdx: Starting index of the transaction list. This is used for controlling starting point of result set.

listLimit: The maximum number of result set items to return.

Returns:

Transaction List: List of transaction objects.

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APPENDIX J

isPermitReminderSet - Returns true if the permission reminder flag is set, which indicates that accounts that new accounts arrived in the AADB and need to be permissioned.

Syntax:

isAccountTransactionList(String)

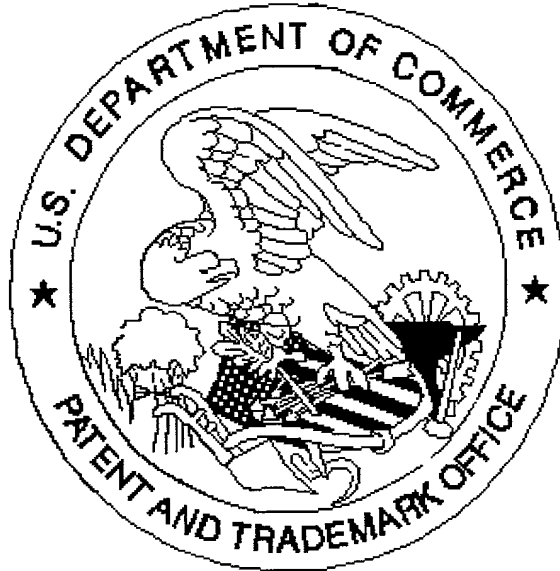
RegID: The Client's Regid.

Returns:

boolean: True if new accounts new to be permissioned, else false.

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